

PATENT  
030560

1-12-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Greenshields et al. )  
Serial No.: 10/672,448 ) Examiner:  
Filing Date: Sept. 26, 2003 ) Art Unit: 3629

Title: MONETIZING A CONTRACT TO SUPPLY A COMMODITY

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450


Dear Sir:

Applicants, in accordance with their duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advise the United States Patent and Trademark Office of the reference(s) listed on the accompanying form PTO/SB/08. A copy of each reference is enclosed.

Applicants note that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Respectfully submitted,

Date: 1/8/04

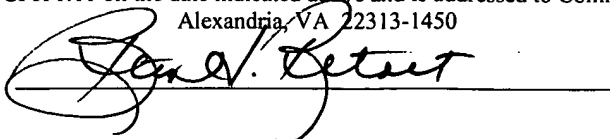
  
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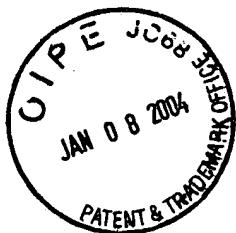
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PTO/SB/08A (10-01)

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
		<b>Application Number</b>	10/672,448		
		<b>Filing Date</b>	Sept. 26, 2003		
		<b>First Named Inventor</b>	Greenshields, S.		
		<b>Art Unit</b>			
		<b>Examiner Name</b>			
<b>Sheet</b>	1	<b>of</b>	1	<b>Attorney Docket Number</b>	030560

<b>OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS</b>			
<b>Examiner Initials*</b>	<b>Cite No.<sup>1</sup></b>	<b>Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published</b>	<b>T<sup>2</sup></b>
		Cedar Brakes I, LLC offering memorandum, Sept. 20, 2000	
		Utility Contract Funding, LLC offering memorandum, June 27, 2002	
		Power Contract Financing, LLC offering memorandum, June 11, 2003	

<b>Examiner Signature</b>		<b>Date Considered</b>	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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